

The Back-End Transition Services shall include any of the following.

Owner Rights

- Audit
- Engagement of Subcontractors

Operator Rights

- Baseline Environmental Study
- Engagement of Subcontractors
- Use of Affiliate Personnel

Owner Responsibilities

- Access to Office Space, Facilities
- Cooperation with Operator
- Establishment of New Bank Accounts
- Notification of Customers to New Payment Accounts
- Timely Payment of Back-End Transition Fees

Operator Responsibilities

- Safety
 - Review of Overall Safety Program
- T&D Operations
 - Review of Current State of System
 - Review of System Operator Principles and Emergency Response Plans
 - Review of Vegetation Management Plan and Physical Security Plan
 - Review of Integrated Resource Plan
 - Delivery of List of Fleet Vehicles
 - Review of System Remediation Plan (if Applicable)
 - Sale of Materials and Supplies
 - Preservation of Materials, Tools and Equipment
 - Removal of Equipment and Tools from T&D System Sites
 - Maintenance of Consumable and Spare Part Inventory at Sites
 - Removal of Operator Employees from T&D Sites upon Exit
 - Condition of T&D Sites Upon Exit
 - Delivery of Current Maps of the T&D System
 - Provision of Technological and Design Support
- Regulatory
 - Review of any Regulatory Reporting and Compliance Requirements and Open Discussions with PREB
 - Review of Regulatory Filing Priorities and Timeline
- Customer Service

- Review of Policies, Processes and Procedures Captured Under the Document and Record Control Register
- Human Resources
 - Delivery of Information Regarding Staffing, Benefits and Labor Contracts
 - Retention of Senior Management for Six Month Period
 - Stability of ServCo employees - fair and appropriate treatment of the ServCo employees, including possible sale of ServCo to facilitate stability
 - Evaluate circumstances and consider other appropriate options to facilitate employee stability in transition
- Capital Projects
 - Delivery of List of Materials and Equipment Delivered to Work Sites
 - Status of Federally Funded Projects
- Information Technology
 - Review of the Data Security Plan
 - Delivery of all Computer Programs used at T&D Sites
 - List of Files, Access and Security Codes
 - Delivery of System Information and Customer Databases
 - Delivery of a Document Management Program
- Finance
 - Estimate of Back-End Transition Service fee for 4.5 Months
 - Monthly Invoice for Back-End Transition Services
 - Review of Current Budgets
- Risk Management
 - Notice of Termination of Insurance Policies
- Environmental
 - Review of Status of Environmental Work
 - Review of Pending Permitting and Reporting
- Legal
 - List of Legal Proceedings Involving Contractors or Subcontractors
 - Provision of Work Product and Owner Intellectual Property
- Supply Chain
 - Review of Procurement Manuals
 - Delivery of Copies of All Contracts and Subcontracts
 - Termination or Assignment of Contracts and Subcontracts
 - Cessation of Entering into New Contracts
 - Transfer of Title to Special Order items
 - Transfer of Warranties
- Administrative
 - Removal of Branding
 - Termination of Shared Service Agreements (if Applicable)
 - Milestone Reporting
 - Delivery of all Books, Records, Customer Lists and Other Information
 - Delivery of List of all Facilities
 - Develop and Execute a Handover Checklist