



# Periodic Update #7

May 10, 2023

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## I. Overview

In accordance with Section 4.2(i) *Periodic Reports* of the Operation and Maintenance Agreement (OMA), Genera PR LLC (Genera) hereby submits to the Puerto Rico Public-Private Partnership Authority (P3A) the Periodic Report #7 which includes updates on the Mobilization Plan, completed obligation and provides updates on the Handover Checklist per Section 4.2(b) *Handover Checklist* of the OMA. Information regarding the Handover Checklist and Media Communications is in the appendices.

## II. Executive Summary

The Periodic Report #7 for May 10, 2023, includes Genera's progress through the mobilization phase. As of Tuesday, May 9<sup>th</sup> 2023, Genera has already sent out 820 offers with 464 acceptances. Genera is reviewing 164 counter offers expecting to make a decision in the next few days. A total of 182 offers are still pending acceptance, and 30 rejections were received so far.

### III. Commercial Report

#### **Completed Mobilization Period OMA Obligations**

This section shows the OMA obligations completed in the past two weeks only. All previous weeks' completed OMA obligations have been removed to minimize the length of the report.

Section	Description	Responsible Party	Status
4.2 (v)	Annual Performance Test	Genera PR LLC (Operator)	Extension of Time Requested

### IV. Mobilization Process Update

#### **Personnel and Training**

- Continue active communication and offers orientations with plant employees to accelerate recruitment process (over 430 plant employees have accepted Genera offers)
- Continued personnel assessment on key operational positions
- Finalizing labor costs, organization chart and head count estimates for service commencement
- Operator Training Program is being finalized and will be submitted as scheduled before the end of May.

#### **Stakeholder Engagement**

- Meeting/Briefing with President of the House and District Rep. Jose Gonzalez on transition process at Cambalache Plant.
- Meeting/Briefing with Executive Director of Federal Oversight Management Board.
- Genera presentation to PR Builders Association-board of directors.
- Genera presentation to Facilities Director Task Force-Pharmaceutical Industry Association.
- Meeting/Briefing Humacao Mayor.
- Attended Fema's Power Stabilization Task Force weekly meeting.
- Continued development of next phase of Genera website.
- Continued communication with AAFAF, P3A and PREPA HR on recruitment process and coordination of voluntary transition plan for PREPA employees

## **Information Technology**

Genera has held numerous working sessions with PREPA and LUMA in an effort to most effectively and efficiently address IT transition needs. The approach which has been undertaken to this point has been deliberate in nature and applies across all the generating plants. Genera has developed a working draft of the IT roadmap which has been shared and feedback received. In addition, Genera is in the process of developing the Commencement Script which details out the specific actions necessary for service commencement as well as the longer-term transition items required prior to the end of the year. We are soliciting feedback to validate the executional aspects of the plan (how/when/who). Not only are the necessary deliverables outlined, this will result in a smoother transition experience from an employee/user perspective. The last meeting held with LUMA (4/27/2023) was a working session which fostered increased transparency and coordination. The next meeting – which will focus on how identified gaps have been addressed – will be held on 5/11/2023. Once all elements are validated, the plan will be shared with P3A for review and comment.

- Application Separation RFP evaluation complete; decision made to award strategy & roadmap engagement to best meet the current and future needs of Genera. Contracting in progress with completion of scope scheduled for 6/19.
- Benefits, Onboarding, Timekeeping, and Payroll software (ADP) implementation officially kicked off the week of 5/8. Scheduled go-live prior to 7/1.
- Additional site visits have been held to collect IT/OT asset inventory. The initial lack of access to data & information as well as changing site conditions (current in progress projects) have proven to be a hurdle but have been overcome with stakeholder (LUMA & PREPA) engagement. Architecture diagramming, including Demarcation, is nearing completion. Draft high-level architecture will be shared with P3A via a separate and confidential transmittal. (See items of interest section).

## **Inventory**

- Genera with the assistance of Sargent & Lundy is developing a list of critical, major maintenance and minor maintenance spare parts for each legacy generation asset.
- The lists are being developed along with the maintenance and warehouse personnel of each plant.

### **Finance, Procurement & Accounting**

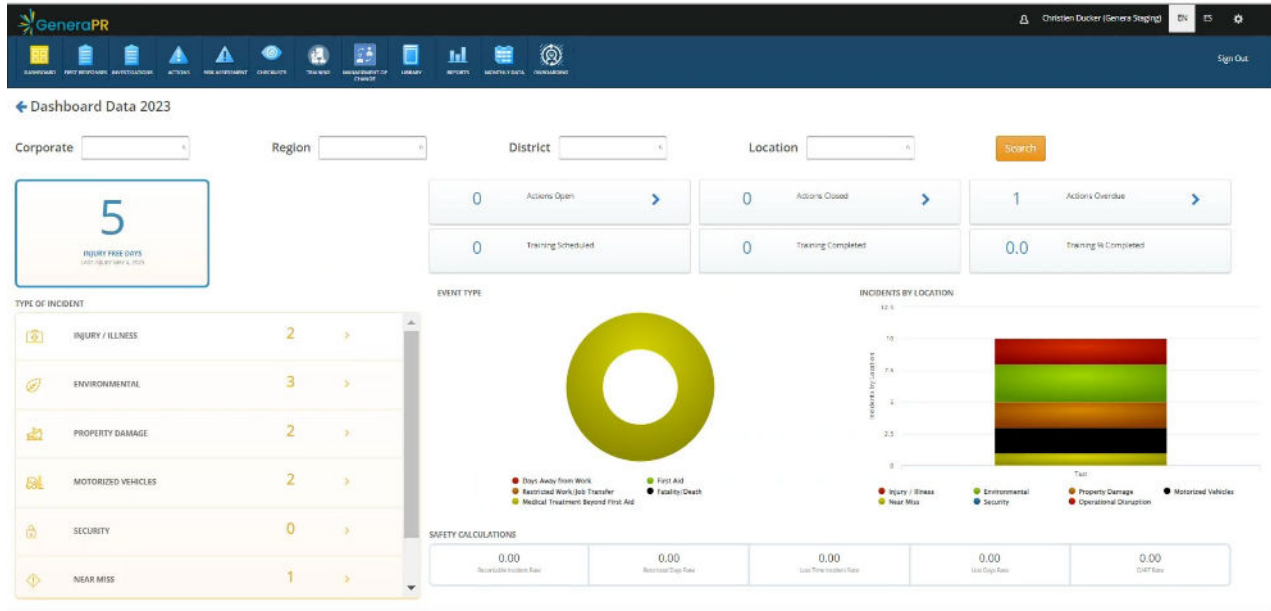
- FY2024 GenCo Operating Budget:
  - Prepared responses to the P3A RFI following the submission of the GenCo operating budget.
  - On-going review and update of the model based on communication of final allocations.
- Fiscal Plan 2024:
  - On-going development of supporting schedules and analysis of cost analysis for the fiscal plan.
- Focus on initiatives to build team resources:
  - Reviewing CV's for: Controller, Director, FP&A, and Director, Treasury.
  - Interviews are scheduled to commence this week.
- Finalizing documentation for internal review of Genera back-office operating model from commencement – TSA transition, focusing on:
  - Cash management, reconciliation, and reporting.
  - Integration with asset suite and management of PO's.
  - User access/profile security.
- Working sessions with both LUMA and PREPA/Ankura teams
  - To document desk-top procedures for commencement, clarifying roles and responsibilities of each team.
  - Obtained PREPA cost center list and working to determine proper ownership within PREPA (i.e. GenCo, GridCo, HydroCo, and HoldCo).
- Compiled final supporting documents required for pass through invoices, including admin docs.

### **Environmental Permitting/ Safety Programs**

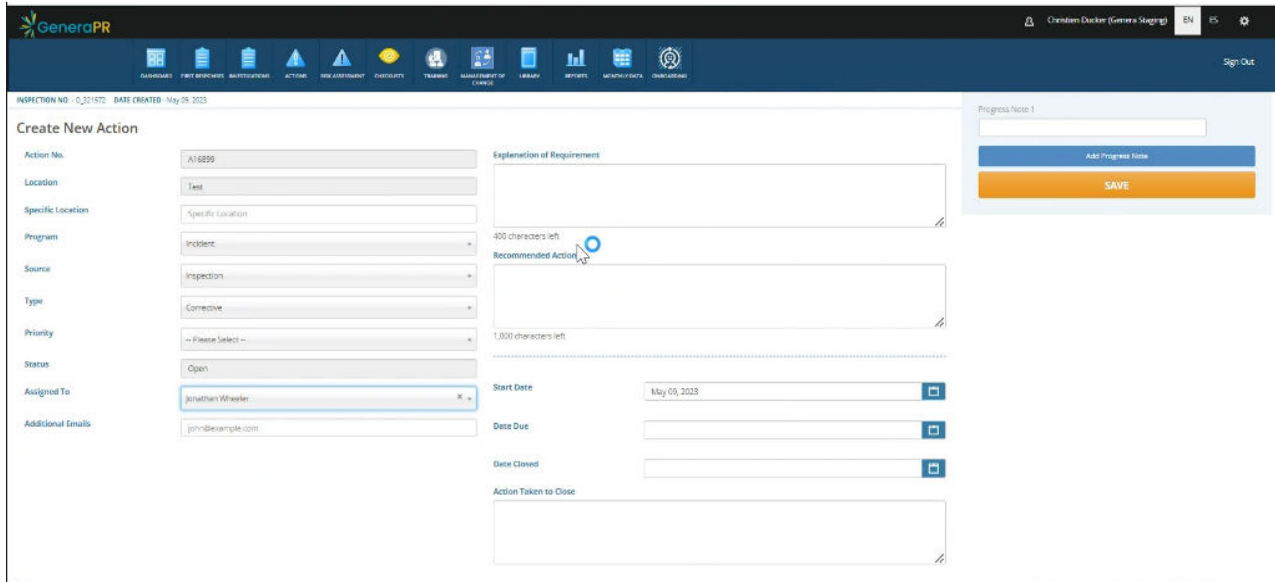
Genera is materially complete with the review of all received permits, all of which have now been fully deconstructed. The result of the deconstruction is a detailed list of deliverables which can now be uploaded into a compliance calendar in our online, HSEQ management platform – SMS360. SMS360 will not only host our compliance actions calendar, but will also serve as the centralized system for incident reporting and investigations, risk assessments, permit-to-work, management of change, safety data sheet (SDS) library, and various inspection forms. Genera has been conducting requirements sessions with SMS360 to build out the various modules we will utilize and the system will be ready for use prior to service commencement.

Progress is being made on the centralized legacy generation emergency response plan. Genera has taken the feedback received from various stakeholders to further refine the document. Further collaboration with other stakeholders is necessary prior to submitting to P3A, which we hope to have completed over the next two weeks.

### Dashboard representation of SMS360



## Example of how to create a new action in SMS360



The screenshot shows the 'Create New Action' form in the GeneraPR interface. The form is titled 'Create New Action' and includes the following fields and options:

- INSPECTION NO. - C-201972** | **DATE CREATED - May 09, 2023**
- Action No.:** A16259
- Location:** Test
- Specific Location:** Specific Location
- Program:** Incident
- Source:** Inspection
- Type:** Corrective
- Priority:** -- Please Select --
- Status:** Open
- Assigned To:** Jonathan Wheeler
- Additional Emails:** john@example.com
- Explanation of Requirement:** (Text area with 400 characters left)
- Recommended Action:** (Text area with 1,000 characters left)
- Start Date:** May 09, 2023
- Date Due:** (Date picker)
- Date Closed:** (Date picker)
- Action Taken to Close:** (Text area)

On the right side of the form, there is a 'Progress Note 1' section with an 'Add Progress Note' button and a 'SAVE' button.



## Example of how to change an action on SMS360

GeneraPR
Christian Ducler (Genera Staging) ES

MANAGEMENT OF CHANGE - 1000\_T01000 - DATE CREATED - 14y 09 2023

EMPLOYEES PROJ PROPOSED IMPLEMENTING ACTIONS RISK ASSESSMENT PROJECTS TRAINING MANAGEMENT OF CHANGE LEGISLATION REPORTS INTEGRITY DATA ONBOARDING

### Management of Change

**DETAILS**

**Location / Project**  
Please Select

**Request ID (To be entered by MDC Admin)**  
- View must be member -

**Requested By**  
- Free Text -

**Date of Request**  
05/04/2023

**Type of Change (Select all that apply)**  
 Contract  Procedure / Process  Policy  Asset / Equipment  System / Software  Personnel / Organization  Product  Design / Specification  Other

**Reason for Change (What is driver for the change requested? Select all that apply)**  
 Legal / Regulatory  Performance / Quality  HSSE  Market  Customer Requirement  Other

**Priority Level (Identify the Priority or level of urgency for the change to be reviewed, approved & implemented)**  
Please Select

ALL SUPPORTING DOCUMENTATION FOR THIS CHANGE REQUEST MUST BE UPLOADED TO THIS REQUEST BEFORE SUBMITTING. INCOMPLETE SUBMISSIONS WILL NOT BE APPROVED. To upload supporting documentation, use the "upload files" button on the right side of the screen.

**Description of Proposed Change (Explain in detail WHAT change is proposed)**  
- Free Text - Unlimited Characters

**Reason for Proposed Change (Describe WHY the change is proposed)**  
- Free Text - Unlimited Characters

**Responsibility of Implementation (Identify WHO is responsible for ensuring the change is implemented)**  
- Free Text - Unlimited Characters

**Implementation Process / Effort (Describe HOW the change will be implemented)**  
- Free Text - Unlimited Characters

**What is the back up plan?**  
- Free Text - Unlimited Characters

**Supporting Information(0)**

Associated Actions (0)

Upload Files

Progress Note 1

Add Progress Note

Create Action

**SAVE**

**SUBMIT**



V. Expenditures Update

Note to report: Genera recognizes the requirements to report on mobilization budget analysis as outlined in Appendix IX Section V(B)(3) Accounting and Reporting and will provide monthly reports as required. Below is the budget and analysis to date.

**Genera - Mobilization Phase | Weekly Finance Summary**

ID #	Budget Category	Budget		Paid to Date		Remaining (Unpaid)	
		Orig Budget	Forecast	Actual Paid	%	Committed (via PO)	Uncomm. (no PO)
100	Communications	250,000	247,740	26,259	10.6%	190,716	32,765
200	Facilities	200,000	29,265	-	0.0%	29,265	170,735
300	Finance	360,000	521,000	101,893	19.6%	419,107	-
400	HSSEQ	790,000	822,537	15,046	1.8%	624,502	182,989
500	Human Resources	1,420,000	1,511,942	11,009	0.7%	1,500,933	68,312
600	Insurance	50,000	43,196	43,196	100.0%	-	-
700	IT	900,000	900,000	-	0.0%	645,193	292,807
800	Legal & Compliance	3,600,000	1,750,000	-	0.0%	373,892	1,376,108
900	Power	6,100,000	7,867,212	991,697	12.6%	4,740,244	2,135,271
1000	Procurement	530,000	400,000	-	0.0%	400,000	121,080
1100	T&E	300,000	376,806	-	0.0%	-	376,806
1200	Project Management Fees	500,000	525,996	-	0.0%	-	-
<b>Total</b>		<b>15,000,000</b>	<b>14,995,693</b>	<b>1,189,101</b>	<b>7.9%</b>	<b>8,923,852</b>	<b>4,756,873</b>



**VI. Submissions Update**

**Transmittals – April 24, 2023, to May 10, 2023**

Name	Description	Sending Org.	Receiving Org.	Genera Dept.	Created
GENERA-P3A-00016	Safety and Hazardous Materials Procedures Manual Submission	Genera	P3A	PM	4/24/2023
GENERA-P3A-00017	Request for Extension of Time – Procurement Manual	Genera	P3A	PM	4/24/2023
GENERA-PREPA-P3A-00006	O&M Procedures	Genera	PREPA/P3A	PM	4/24/2023
GENERA-P3A-00018	Genera PR to P3A - Periodic Report (Section 4.2 (i) of the OMA)	Genera	P3A	PM	4/25/2023
GENERA-PREPA-P3A-00007	General Request for Information #7	Genera	PREPA/P3A	PM	4/25/2023
P3A-GENERA-00012	RE: Genera PR to P3A - Periodic Report (Section 4.2 (i) of the OMA)	P3A	Genera	PM	4/25/2023
P3A-GENERA-00013	Email: Facility Demarcation Agreements	P3A	Genera	PM	4/26/2023
GENERA-P3A-00019	Demarcation Agreements	Genera	P3A	PM	4/28/2023
P3A-GENERA-00014	Email: RE LGA O&M Procedures with attachment	P3A	Genera	PM	4/28/2023
GENERA-PREB-00003	Request for Extension of Time – Annual Performance Test Procedures	Genera	PREB	PM	5/1/2023
GENERA-PREPA-00023	Cooperation and Assistance in Fulfilling Mobilization Services	Genera	PREPA	PM	5/1/2023



P3A-GENERA-00015	Email: Facility Demarcation Agreements (Reply)	P3A	Genera	PM	5/1/2023
P3A-GENERA-00016	Re. Fuel Optimization Plan	P3A	Genera	PM	5/2/2023
P3A-GENERA-00017	Federally Funded Generation Project Plan	P3A	Genera	PM	5/2/2023
P3A-GENERA-00018	Operator Training Program	P3A	Genera	PM	5/2/2023
P3A-PREPA-00002	Email: LGA O&M   SUT Exemption Certificate	P3A	PREPA	PM	5/2/2023
P3A-PREPA-GENERA-00001	LGA O&M - Facility Contracts	P3A	PREPA/GENERA	PM	5/2/2023
P3A-PREPA-00003	Baseline Environmental Study	P3A	PREPA	PM	5/3/2023
GENERA-P3A-00020	Deliverables Update: Fuel Plans and Federally Funded Plans	Genera	P3A	PM	5/5/2023
GENERA-PREPA-P3A-FOMB-00001	FOMB Protocol Agreement	Genera	PREPA/P3A/FOMB	PM	5/5/2023

## VII. Areas of Concern / Items of Interest

### **Areas of concern**

Genera recognizes the requirements to advise on items of interest and potential areas for concern as outlined in Section 4.2(i) Periodic Reports. Notices with Respect to Facilities Contracts (OMA Section 4.3(e)): Genera sent letters to PREPA on February 7, 2023 and May 1, 2023, and has had multiple on-site discussions with PREPA reminding PREPA of its obligation to notify counterparties to Facilities Contracts of Owner's delegation of authority to Genera and to obtain all required consents from such counterparties documenting such delegation of authority. Section 4.3(e) of the OMA specifically obligates Owner to complete this task in such a manner "as may be necessary for Operator to be able to comply with its obligations under Section 5.2(a)." Genera is concerned that any failure to inform the counterparties and obtain their consent will impair Genera in assuming the obligation to administer existing contracts on day 1, which requires preparation and familiarity with their requirements and the course of implementation. As this information directly impacts Genera's ability to effectively commence service as agent of Owner immediately following the Service Commencement Date, Genera requests that Owner provide such notices as soon as possible. On May 10, 2023, PREPA sent a draft amendment of a Facility Contract which is a good initial step but much more needs to be completed by PREPA in accordance with Section 4.3(e) of the OMA.

### **Areas of Interest**

Information requested by P3A regarding Genera's Information technology Infrastructure is provided in Appendix C. Due to the confidential nature of this information, this Appendix is being provided under a separate transmittal.

## Appendixes

## A. Mobilization Stakeholder Engagement

Mobilization Stakeholder Engagement		In Contact	Initial Briefing	Regular Dialogue	Notes
<b>Federal Agencies</b>					
1	FEMA	Yes	Yes	Yes	Multiple Meetings
2	DOE	Yes	Yes	Yes	Met with DOE Secretary, engaging in PR100
3	US Army Corps	Yes	Yes	Yes	Multiple Meetings
4	EPA	Yes	Yes	No	Meeting with EPA Caribbean Director
5	White House	Yes	No	No	
6	FBI	No	No	No	Meeting to be scheduled
7	Homeland Security	No	No	No	Meeting to be scheduled
8	OSHA	No	No	No	
9	DOJ	No	No	No	
10	FOMB	Yes	Yes	Yes	Meeting/Briefing with FOMB Executive Director
11	<b>Puerto Rico Agencies / Energy Stakeholders</b>				
12	P3A	Yes	Yes	Yes	Weekly Coordination Meetings
13	Governor Pedro Pierluisi	Yes	Yes	No	Meeting/Briefing on progress
14	Governor's Chief of Staff	Yes	Yes	Yes	Meetings/Briefings on progress
15	Governor's Energy Affairs Office Director	Yes	Yes	Yes	Meetings/Briefings on progress
16	PR Ports Authority	Yes	Yes	Yes	Meeting/Briefing
17	PREPA	Yes	Yes	Yes	Daily Meetings, Bi-Weekly Coordination Meetings
18	LUMA	Yes	Yes	Yes	Daily Meetings, Weekly Coordination Meetings
19	AAFAF	Yes	Yes	Yes	Regular Meetings
20	COR3	Yes	Yes	Yes	Weekly Meetings
21	PREB	Yes	No	No	Outreach Began, Scheduling Meetings
22	PUBLIC SECURITY UMBRELLA COMMISSIONER	Yes	No	No	Meeting to be scheduled
23	PR Police Department	Yes	No	No	Meeting to be scheduled
24	AEMED (PR EMERGENCY MANAGEMENT AGENCY)	No	No	No	Meeting to be scheduled
25	PRDRNA	No	No	No	Meeting to be scheduled
26	<b>US Congress</b>				
27	House Committee on Natural Resources	Yes	No	No	Reached out, haven't scheduled meetings yet
28	PRAFAA	Yes	No	No	Reached out, in process to schedule in person meeting
29	Senate Committee for Energy a& Natural Resources	Yes	Yes	No	Met with individual Senators, not committee staff
30	Hispanic Caucus	No	No	No	pending to schedule
31	Rep. Jennifer González Colón	Yes	No	Yes	Scheduling first briefing
32	<b>PR Legislature</b>				
33	President of the House	Yes	Yes	No	Transition Meeting/briefing with President and Rep. Jose Memo Gonzalez
34	President of the Senate	Yes	Yes	No	Meeting/briefing with President of the Senate
35	House Majority Leader	Yes	Yes	No	Initial conversations
36	Senate Majority Leader	Yes	Yes	No	Meeting/briefing with Sen. Javier Aponte Dalmau
37	House Minority Leader	Yes	No	No	Initial conversations
38	Chair of Labor Committee-House	Yes	Yes	No	Ask to be updated after offer letters process to plant employees
39	Chair of Preparation, Reconstruction and Reorganization Committee-House	Yes	Yes	No	Meeting/briefing with Rep. Luis Ortiz
40	Senate Minority Leader	Yes	Yes	No	Meeting/briefing with Sen. Thomas Rivera Schatz
41	Senator Vargas Vidot	Yes	Yes	No	Meeting/briefing
42	Senate Energy Commission	Yes	No	No	Public Hearing scheduled for May 9, 23
43	House Energy Commission	Yes	Yes	Yes	Public Hearing 2/9; Responded to multiple RFI's
44	House Joint Commission Public Private Alliances	Yes	Yes	Yes	Scheduling first briefing and plant tours
45					

46	<b>Puerto Rico Municipalities</b>				
47	Association of Mayors	Yes	No	No	Scheduling first briefing
48	Federation of Mayors	Yes	Yes	No	Meeting/Briefing with president/Mayor of Camuy
49	Mayor of Salinas	Yes	Yes	No	Scheduling 2nd Meeting with Ops team on Aguirre
50	Mayor of Humacao	Yes	Yes	No	Meeting and briefing
51	Mayor of Naguabo	Yes	Yes	No	Meeting and briefing
52	Mayor of Vega Alta	Yes	Yes	No	Agreed on keeping constant communication on plant operation
53	Mayor of Toa Baja	Yes	Yes	No	Agreed on keeping constant communication on Palo Seco and will address issue of plant water pumps
54	Mayor of Loiza	Yes	Yes	No	Meeting and briefing
55	Mayor of San Juan	Yes	Yes	Yes	Meeting and briefing
56	<b>Private Sector Stakeholders &amp; Academia</b>				
57	PR Manufacturers Association	Yes	Yes	Yes	Official Genera Presentation
58	PR Pharmaceutical Industry Association (PIA)	Yes	Yes	No	Official Genera Presentation to the board and Plants Facilities teams
59	PR Colegio de Ingenieros y Agrimensores	No	No	No	
60	PR Colegio de Contadores Publicos Autorizados	Yes	No	No	
61	PR Colegio de Peritos Electricistas	No	No	No	
62	PR Telecommunications Alliance	Yes	No	No	Presentation to be scheduled
63	PR Chamber of Commerce	Yes	Yes	Yes	Official Genera Presentation
64	PR Navieros Association	Yes	Yes	No	Official Genera Presentation
65	PR Retailers Association	Yes	Yes	No	Official Genera Presentation
66	PR Bankers Association	Yes	No	No	Initial contact and scheduling presentation to the board
67	PR Hotels & Tourism Association	Yes	Yes	No	Official Genera Presentation
68	Colegio de Ingenieros y Agrimensores	Yes	No	No	
69	PR Automobile Industry Association	Yes	No	No	
70	PR Hospital Association	Yes	No	No	
71	PR Farm Bureau	Yes	No	No	
72	PR Construction Materials Association	Yes	Yes	No	Official Genera Presentation
73	Justicia Energetica	Yes	Yes	No	Official Genera Presentation
74	PR Restaurants Association	Yes	Yes	Yes	Official Genera Presentation
75	Made in PR Association	Yes	No	No	Initial team contact at their montly event
76	PR Small Business Association	Yes	No	No	
77	PR Builders Association	Yes	Yes	No	Participation in Energy Panel on March 28, Scheduled presentation to the board on May 3
78	Associated General Contractors (AGC) PR Chapter	Yes	Yes	No	Meeting and briefing with President and Executive Committee
79	PR Food Wholesalers and Supermakets Association	Yes	No	No	
80	PR Coalition for Food Security	Yes	No	No	
81	SESA Solar & Energy Storage Association	Yes	No	No	
82	US-Lulac	No	No	No	
83	US-Unidos	No	No	No	
84	US-Hispanic Federation	Yes	No	No	
85	US-National Hispanic Caucus of State Legislators (NHCSL)	Yes	No	No	Represented Genera at NHCSL energy session
86	PR Energy System Recovery & Resilience Advisory Group	Yes	No	No	
87	South East & Caribbean Disaster Resilience Partnership	Yes	No	No	
88	BEOC (PR Business Emergency Operations Center)	Yes	No	No	Attended FEMA/BEOC Energy & Fuel Sector Meeting
89	University of PR (Mayaguez Engineering School)	Yes	No	No	
90	Ana G. Mendez University (Engineering School)	No	No	No	
91	Politechnical Universty (Engineering School)	Yes	Yes	No	Working on MOU for engineering students practice
92	PR Rotary Club	Yes	Yes	No	Participation in Energy Panel
93	PR Energy Company	Yes	Yes	No	Official Genera Introduction with PUMA/TEXACO Management team
94	Media-El Vocero	Yes	Yes	No	Meeting with President of El Vocero
95	Invest PR	Yes	Yes	No	Official Genera Presentation



## B. Handover Checklist Update

PRELIMINARY CHECKLIST ITEM	Required for O&M Services? (Y / N)	Start Date	Due Date	Progress (% Complete)	Completed? (Y / N)	Amt Spent (US\$)	Status Notes
<b>1 People Management (Applicable to Critical Positions Only)</b>							
Staffing completed	N	2/13/2023	5/30/2023	75%	N		An adjusted total of 827 offers have been extended as part of our hiring effort. 447 offers have been accepted and 380 remain pending response. Thus far, only 30 formal decline to join responses have been received. To continue our efforts, Genera has begun recruitment to fill certain roles - 21 currently are posted. These have been posted to the Genera website and resumes are currently being received. 18 executive and senior managerial roles are posted - 8 of which will be based at the corporate office and the other 10 distributed throughout the island. Resume/candidate reviews are currently ongoing.
Orientation to Genera PR completed (incl training on role & responsibility)	Y		5/30/2023		N		Will commence after offer accepted
Skill Assessment Completed	Y		4/24/2023	100%	Y		All technical assessments of legacy staff completed, with focus on the Critical Positions
Training and Development Plan created	Y	2/15/2023	5/24/2023	75%	N		Genera has identified and engaged external support for development of the training plan. All dates and deliverables have been aligned internally and with the
Training commenced (program in place)	Y	5/24/2023	6/24/2023	0	N		Program will be implemented after Training and Development plan is created and approved. The
All new personnel have completed applicable training program	N	6/24/2023	Ongoing	N/A	N		The vast majority of the staff are expected to be
<b>8 Procedures</b>							
New Procedures created (if applicable)	Y		4/24/2023	0.95	N		Initial set of O&M standards and procedures submitted on 4/24/2023 for formal review by P3A. Procedures are currently being updated based on P3A
Site and department-level procedures have been implemented - including applicable Emergency Response Plans, Fuel Supply	Y	2/1/2023	6/24/2023	25%	N		Genera has identified and commenced the development of various site-level requirements which

11	<b>Contracts</b>						
12	Review of applicable contracts	N	2/15/2023	6/30/2023	50%	N	Currently reviewing all applicable contracts with the aim of aligning on post-service commencement utilization. There has been a potential gap identified
13	Determinations of contracts - Retention of existing contracts - New contracts entered	N	2/15/2023	6/30/2023	50%	N	Taking place in parallel with the review where possible. Applicable existing contracts are being extended for six months beyond June 30, 2023. This
14	Contractor partners in place to support O&M needs	Y		6/30/2023	1	Y	Contractor partners in place
15	<b>Project and Workload Management</b>						
16	Work Management System implemented	Y		6/30/2023		N	Based on evaluations of systems, processes, and overall requirements, Genera is opting to utilize and improve the current system (Asset Suite) as this will allow for ease of transition and minimize disruption heading into service commencement. Genera is developing the execution plan for this migration and
17	Project teams in place with training items 4+ weeks out	N		6/30/2023		N	
18	Work plans for first 4 weeks identified	Y		6/30/2023		N	
19	Introductory meeting with Plant Technical Services lead and the Pod Manager supporting the specific plant	Y		5/30/2023		N	
20	Plant Manager and Pod Manager agreed on formed project teams	Y		5/30/2023		N	
21	<b>HSSE and Regulatory Compliance</b>						
22	Permit and current status of compliance reviewed with PREPA functional area leads (with HSSE Support)	Y	2/8/2023	4/30/2023	100%	Y	All permits have been reviewed. There are some minor gaps which will require further investigation and review, however, based on what has been provided, the review is materially complete.
23	Risk assessments reviewed with PREPA functional area leads and updated where necessary	Y	2/22/2023	5/31/2023	30%	N	Working sessions with PREPA continue. Site visits held over the last two weeks to allow for further assessment and context. Genera has engaged a third-
24	Safety and HazMat Manual implemented and trained on	Y	2/8/2023	6/24/2023	90%	N	H&S and Haz Mat Manual has been submitted for formal review by P3A. P3A has returned their review and edits have been made, with the manual now in the process of being resubmitted. The training aspect
25	Permits reviewed and deconstructed with HSSE support	Y	2/8/2023	6/1/2023	100%	Y	All permits which have been provided to Genera have been reviewed and deconstructed. There are certain permits which remain undelivered, which will require agency requests to obtain. Critical permits (Title V/PSD air permits and NPDES) have been received/deconstructed. Genera is now in the
26	Authorized Operator/Signatory changed to Genera PR on applicable permits	N	2/23/2023	5/5/2023	70%	N	Genera has commenced engagement with EPA around the Consent Decree. Genera is working with PREPA to commence the process of sending out notifications to issuing agencies. The action to do the agency notification sits with PREPA. There will be no transfer of permits but rather notification appointing Genera as the operator of record and authorized reporter/signatory to the permit for compliance
27	For sites under jurisdiction of the Consent Decree, EPA has authorized Genera as Operator	Y	2/7/2023	5/19/2023	10%	N	Genera held initial meeting with EPA to socialize our team, strategy, and discuss priorities. Working with PREPA to appoint Genera as the Operator of Record continues.

28	<b>IT</b>						
29	Transition of FCC licenses	Y		5/19/2023	90%	N	Genera has completed the FCC registration process and FRN has been granted. Genera has linked accounts with PREPA to allow for full utilization. License association is currently in progress.
30	Established DOA from PREPA to Genera PR to reassign account accesses, where applicable	Y		5/31/2023	45%	N	Business teams have been engaged to drive the process. Working through DOA and access in Asset Suite and E-Business Suite. Meetings have been held with LUMA to refine details regarding master data mapping (5/8/23) and system security profile alignment (5/11/23). Over the course of the next week, we will confirm access requirements with LUMA for day 1 commencement, which will be done in working session meetings.
31	<b>LUMA Coordination</b>						
32	Communication established with LUMA dispatch (agree to initial agreed operating procedures set in the Gridco-Genco Operating Agreement)	Y		4/30/2023		Y	Various working sessions have been held with LUMA and a formal RFI has been received from LUMA addressing procedural review. The lines of demarcation have been established on a per plant basis. Additional meetings will be necessary prior to finalizing any of the line items. A formal communication and collaboration plan is in the
33	Jointly, with LUMA, identify the requirements and procedures for the annual performance and heat rate testing for each unit	Y		5/31/2023	20%	N	
34	Align on dispatch schedule for first 4 weeks and ready to support	Y		6/15/2023		N	
35	<b>Fuel Management</b>						
36	Fuel inventory adequate for dispatch schedule	Y		5/31/2023		N	Inventories to be verified at a later date
37	Fuel delivery schedule adequate to match long-term dispatch projection	Y		5/31/2023		N	Delivery schedule will be verified at a later date
38	<b>Spare Parts</b>						
39	Site team and Genera PR have reviewed current inventory	Y		6/30/2023		N	Revising approach and modified schedules while adding resources to ensure accuracy in the counts and conditions.
40	Inventory is understood and support continued operations	Y		6/30/2023		N	Through review process, Genera has started classifying the spare parts and are working to review and assign parts accordingly.
41	Identify all necessary consumables, spare parts, and capital spares for first 12 months of operations (with communication of this to PREPA and PREB)	Y		5/31/2023	50%	N	Initial list provided to P3A for review. P3A has provided feedback to Genera requesting additional detail and effort. Currently addressing the noted
42	<b>Relations and Communications</b>						
43	Establish communication with potential site-level union officials	Y		6/30/2023		N	Strategy is under internal review.
44	<b>Finalization</b>						
45	Genera PR and PREPA Functional Area Leaders have agreed handover is ready	Y		6/30/2023		N	This line item will only be available for completion once all other required line items of this checklist are complete