



Periodic Update #8

May 25, 2023

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I. Overview

In accordance with Section 4.2(i) *Periodic Reports* of the Operation and Maintenance Agreement (OMA), Genera PR LLC (Genera) hereby submits to the Puerto Rico Public-Private Partnership Authority (P3A) the Periodic Report #8 which includes updates on the Mobilization Plan, completed obligation and provides updates on the Handover Checklist per Section 4.2(b) *Handover Checklist* of the OMA. Information regarding the Handover Checklist and Media Communications is in the appendices.

II. Executive Summary

The Periodic Report #8 for May 25, 2023, includes Genera's progress through the mobilization phase. As of Thursday, May 25th 2023, a total of 900 offers have been extended as part of our hiring effort. 575 offers have been accepted. Thus far, 237 declines and 88 pending to confirm. Genera recruitment efforts to fill 25 positions are posted on website. Resumes and candidates are currently being reviewed. 18 are executive and senior managerial roles, 8 of which will be based at the corporate office and the other 10 distributed throughout the island.

III. Commercial Report

Completed Mobilization Period OMA Obligations

This section shows the OMA obligations completed in the past two weeks only. All previous weeks' completed OMA obligations have been removed to minimize the length of the report.

| Section | Description | Responsible Party | Status |
|---------|---|--------------------------|-----------|
| 4.2(m) | Operations and Maintenance Procedures | Genera PR LLC (Operator) | Completed |
| 4.2(n) | Safety Manual | Genera PR LLC (Operator) | Completed |
| 4.2(o) | Operator Training Program | Genera PR LLC (Operator) | Completed |
| 4.2(p) | Procurement Manual | Genera PR LLC (Operator) | Completed |
| 5.11 | Filing of Guarantee - Office of the Comptroller | PREPA | Completed |

IV. Mobilization Process Update

Personnel and Training

- Operator Training Program submitted on May 24, 2023.
- Program will be implemented after Training and Development plan is created and approved. The execution of the training plan will be in full alignment and based on the results of the individualized employee evaluations.
- Due to timing of access to employees as well as program readiness, training will not be completed until after service commencement date. That said, the program will be executed with prioritization of needs to safely and effectively execute job tasks and again, will be balanced back against the results of the employee evaluations.
- To ensure success, sufficient time will be allotted and scheduled as shift scheduling will account for expertise to be in place to ensure ample coverage and avoid any deficiencies in skill set.

Stakeholder Engagement

- Meeting/Mobilization Update with Governor's Chief of Staff and P3 Director.
- Participated in Energy Panel at the PR Now annual meeting.
- Meeting/Briefing with Secretary of State/AAFAF Executive Director, P3 Executive Director, Secretary of Treasury and DOE PR Director at PR Now annual meeting.
- Participated in Energy Panel at the PR Manufacturers Association Convention.
- Participated in Energy and PPA Panels at the PR Chamber of Commerce Convention.
- Attended public hearing on Arecibo project with Rep. Jose Memo Gonzalez.
- Meeting/Briefing Rep. Jose Quiquito Melendez.
- Attended Fema's Power Stabilization Task Force weekly meeting.
- Continued development of next phase of Genera website

Information Technology

- Site assessments for installation of timekeeping & payroll hardware at all power generation sites complete the week of 5/22. Availability of network connection and back-up process for timekeeping confirmed with site users.
- Day 1 Access Strategy and process overview complete at all power generation sites complete the week of 5/22. Chief Plant Manager, Field Safety Officer, and IT/OT Liaison have been identified at each of the sites.
- Detailed workshops with LUMA and PREPA stakeholders for user data/file/folder access, core system functionality and access, and end-user machine & network access for Day 1 hosted the week of 5/22.
- Current state analysis for General Network Architecture and each primary generation site complete.
- IT/OT findings & recommendations for Transition Period and Future State are in draft format and being reviewed and refined by management.
- Progress made towards refinement & finalization of cutover list (Commencement List) inclusive of stakeholder (internal Genera, LUMA, PREPA, Ankura) input and collaboration.
- Employee remote connection architecture for Commencement employee access to applications, data, and files complete.
- Local IT Service Provider selected and onboarded for Day 1 migration and cutover activities; statement of work execution in progress.

- Deployed additional workstations and IT equipment for new hires & transition employees at the corporate office.
- Discovery & scoping meetings help with local telecommunications and hosting infrastructure for shared services separation. Dedicated connection from corporate office to core infrastructure hosting location & data center contracted.
- Launched Genera PR webpage and continuing to refine generation detail dashboards.
- Reviewed hardware & software options for video conferencing and interconnectivity between plants & office; selected primary option and working through contract.
- Detailed workshops for implementation and enhancement of Asset Suite for Preventative and Predictive Maintenance, Inventory Management, Procurement and Supply Chain, and Document Control hosted the week of 5/22.

Inventory

- Genera is developing a list of critical, major maintenance and minor maintenance spare parts for each legacy generation asset.
- The lists are being developed along with the maintenance and warehouse personnel of each plant.

Finance, Procurement & Accounting

1. FY2024 GenCo Operating Budget:
 - a. Prepared responses to PREP order following the submission of the GenCo operating budget.
 - b. Updating internal workpapers for budget owners to track budget versus actual during operating period.
2. Fiscal Plan 2024
 - a. On-going development of supporting schedules and analysis of cost analysis for the fiscal plan
3. Focus on initiatives to build team resources:
 - a. Interviewing candidates for: Controller & Director, FP&A
 - b. Onboarded additional finance resources, including Head of Procurement
4. Working sessions, review, and plan development for D1 for cost center mgmt. within Company #1.
5. Finalizing controls and review for D1

- a. Cash service accounts have been created and developing procedure for reconciling cash as of cut-over, to open POs to align on transactions in process.
 - b. Communicating changes to GenCo DOA for all purchase orders and commitments
6. Working sessions with both LUMA and PREPA/Ankura teams
 - a. To document desk-top procedures for commencement, clarifying roles and responsibilities of each team – P&L split is finalized, working through project accounting and balance sheet accounts
 7. Circulated Invoice manual draft or internal review/comments/edits.
 8. Working on master data mapping with ADP for D1 labor costs

Environmental Permitting/ Safety Programs

GeneraPR continues to build out the compliance calendar and the creation of action items associated with operating permits and plans. We now hold weekly transition sessions with key PREPA staff aimed at ensuring continuity of efforts and clear understanding of required efforts starting day one. We are focused on closing the gap on permits and other documentation still needing to be delivered to GeneraPR from PREPA. Of immediate concern is documentation associated with groundwater sampling and analysis. What has been conveyed to GeneraPR is the gap in documentation may be due to a lack of centralized and controlled information management practices at PREPA, resulting in key documentation remaining on individual employee computers and file systems. PREPA is keen to track down the missing documentation and are currently going to those employees to see if they may have the data we require.

The legacy units Emergency Response and Action Plan is 98% complete at this point. GeneraPR held a coordinating session with LUMA to address feedback – comments and suggested edits – so as to ensure alignment and consistency between the plans. What remains are some minor points which required further discussion and finalization. Once addressed, the plan will be materially complete and submitted to P3A for official review and comment. The aim to deliver the report remains by 31 May.

Various aspects which need to be completed ahead of service commencement in terms of worker safety, equipment procurement, security protocols, etc have begun, all with the aim of ensuring a smooth transition. It is still unclear whether GeneraPR is now officially listed as the operator of record for the environmental permits. We have



confirmed PREPA as notified the US Department of Justice (and Environmental Protection Agency) of the appointment of GeneraPR as operator of the legacy generation assets, however status of acknowledgement back is unclear at this time. This is something GeneraPR is following up with PREPA on directly.



V. Expenditures Update

Note to report: Genera recognizes the requirements to report on mobilization budget analysis as outlined in Appendix IX Section V(B)(3) Accounting and Reporting and will provide monthly reports as required. Below is the budget and analysis to date.

Genera - Mobilization Phase | Weekly Finance Summary

| ID # | Budget Category | Budget | | Paid to Date | Remaining (Unpaid) | |
|--------------|-------------------------|-------------------|-------------------|------------------|--------------------|------------------|
| | | Orig Budget | Forecast | Actual Paid | Committed (via PO) | Uncomm. (no PO) |
| 100 | Communications | 250,000 | 247,740 | 103,270 | 113,705 | 32,765 |
| 200 | Facilities | 200,000 | 29,265 | - | 29,265 | 170,735 |
| 300 | Finance | 360,000 | 521,000 | 101,893 | 419,107 | - |
| 400 | HSSEQ | 790,000 | 822,537 | 40,046 | 605,577 | 176,914 |
| 500 | Human Resources | 1,420,000 | 1,511,942 | 89,784 | 1,422,158 | 68,312 |
| 600 | Insurance | 50,000 | 43,196 | 43,196 | - | - |
| 700 | IT | 900,000 | 900,000 | - | 645,193 | 292,807 |
| 800 | Legal & Compliance | 3,600,000 | 1,750,000 | - | 373,892 | 1,376,108 |
| 900 | Power | 6,100,000 | 7,867,212 | 1,248,218 | 3,988,015 | 2,701,049 |
| 1000 | Procurement | 530,000 | 400,000 | - | 400,000 | 121,080 |
| 1100 | T&E | 300,000 | 376,806 | - | - | 376,806 |
| 1200 | Project Management Fees | 500,000 | 525,996 | - | - | - |
| Total | | 15,000,000 | 14,995,693 | 1,626,408 | 7,996,912 | 5,316,576 |



VI. Submissions Update

Transmittals – April 24, 2023, to May 10.2023

| Name | Description | Sending Org. | Receiving Org. | Genera Dept. | Created |
|-----------------------------|--|--------------|----------------|--------------|-----------|
| PREPA-GENERA-00004 | Letter from PREPA CFO to Genera PR CFO | PREPA | Genera | PM | 5/10/2023 |
| GENERA-PREPA-00024 | Baseline Environmental Assessments | Genera | PREPA | PM | 5/12/2023 |
| P3A-PREPA-00004 | Guarantee Filing | P3A | PREPA | PM | 5/12/2023 |
| GENERA-PREPA-00025 | Response to Draft Amendment for Facility Contracts | Genera | PREPA | PM | 5/15/2023 |
| GENERA-PREPA-00026 | Cancellation of Fuel Contracts Procurement | Genera | PREPA | PM | 5/16/2023 |
| GENERA-PREPA-P3A-00008 | Government Approvals | Genera | PREPA/P3A | PM | 5/16/2023 |
| GENERA-P3A-00021 | Safety and Hazardous Materials Procedures Manual Final Submission | Genera | P3A | PM | 5/17/2023 |
| GENERA-PREPA-00027 | GeneraPR - Written Consent (Bank) | Genera | PREPA | PM | 5/17/2023 |
| GENERA-PREPA-P3A-FOMB-00002 | FOMB Protocol Agreement Follow-Up | Genera | PREPA/P3A/FOMB | PM | 5/17/2023 |
| GENERA-PREPA-00028 | Email: Draft Amendment For Facility Contracts - Email Correspondence | Genera | PREPA | PM | 5/18/2023 |



| | | | | | |
|--------------------------------|--|--------|-----------|----|-----------|
| PREPA- GENERA-00005 | Email - Draft Amendment for Facility Contracts - Email Correspondence | PREPA | Genera | PM | 5/18/2023 |
| GENERA-P3A- COR3-00001 | Procurement Manual | Genera | P3A/COR3 | PM | 5/19/2023 |
| GENERA- PREPA-00029 | Email: Information needed to complete process of opening of Service Accounts | Genera | PREPA | PM | 5/19/2023 |
| GENERA- PREPA-00030 | Facility Contracts Review and Response | Genera | PREPA | PM | 5/23/2023 |
| GENERA- PREPA-P3A- 00009 | General Request for Information #8 | Genera | PREPA/P3A | PM | 5/23/2023 |
| P3A-PREPA- 00005 | Additional Facility Contracts | P3A | PREPA | PM | 5/23/2023 |
| GENERA-P3A- 00022 | Operator Training Program Submission | Genera | P3A | PM | 5/24/2023 |
| GENERA-P3A- 00023 | O&M Procedures Final Submission | Genera | P3A | PM | 5/24/2023 |

VII. Areas of Concern / Items of Interest

Areas of concern

1. Facility Contracts

Similar to Genera's Periodic Report 7, Genera remains concerned about PREPA's noncompliance with the requirements under Sections 4.3(e) and 4.3(m) of the OMA with respect to Facility Contracts. As an example, PREPA recently shared a draft list of Facilities Contract to Genera but such list is not complete nor does it accurately reflect "all material existing Facility Contracts" as required by Section 4.3(m) of the OMA for several reasons, including material contracts such as Fuel Contracts are not included in such list¹. Although Genera has separately received copies of what it believes are the Fuel Contracts, Section 4.3(m) requires identification of "all material existing Facility Contracts" and the omission of the key category of Facility Contracts from PREPA's draft list of Facilities Contracts raises concerns that other key categories of contracts may also not be included. Genera has sent several letters to PREPA and has had multiple on-site discussions with PREPA reminding PREPA of its obligation to share all "material existing Facilities Contracts" per Section 4.3(m). However, as of the date of this report, this obligation has not been met and remains a concern for Service Commencement Date. Genera understands P3A shares this same concern with PREPA.

In addition, PREPA has to notify counterparties of Facilities Contracts of PREPA's delegation of authority to Genera and to obtain all required consents from such counterparties documenting such delegation of authority. Section 4.3(e) of the OMA specifically obligates PREPA to complete this task in such a manner "as may be necessary for Operator to be able to comply with its obligations under Section 5.2(a)." Although it appears this has been partially done by PREPA, Genera is concerned that any failure to inform the counterparties and obtain their consent will impair Genera in assuming the obligation to

¹ Note to Report: It appears PREPA's list only included Facility Contracts of PREPA's Administrative Directorate. However, the OMA's definition of Facility Contracts is much broader than just Administrative Directorate contracts.

administer existing contracts on day 1, which requires preparation and familiarity with their requirements and the course of implementation. As this information directly impacts Genera's ability to effectively commence service as agent of PREPA immediately following the Service Commencement Date, Genera requests that PREPA provide such notices as soon as possible.

2. Genera requires responses to the following letters in support of Service Commencement Date:
 - a. 5/23/2023 Request for Information #8
 - b. 5/23/2023 Facility Contracts Review and Response
 - c. 5/17/2023 FOMB Protocol Agreement Follow-Up
 - d. 5/16/2023 Governmental Approvals
 - e. 5/15/2023 Cancellation of Fuel Contracts Procurement
 - f. 5/15/2023 Response to Draft Amendment for Facility Contracts
 - g. 5/12/2023 Baseline Environmental Assessments
 - h. 5/5/2023 FOMB Protocol Agreement
 - i. 5/1/2023 Cooperation and Assistance in Fulfilling Mobilization Services
 - j. 4/25/2023 General Request for Information #7

Appendixes

A. Mobilization Stakeholder Engagement

| | Mobilization Stakeholder Engagement | In Contact | Initial Briefing | Regular Dialogue | Notes |
|----|---|------------|------------------|------------------|--|
| 1 | Federal Agencies | | | | |
| 2 | FEMA | Yes | Yes | Yes | Weekly Grid Stabilization Task Force and Disaster Preparedness BEOC meetings |
| 3 | DOE | Yes | Yes | Yes | Met with DOE Secretary. Multiple meetings/briefings with DOE PR Director |
| 4 | US Army Corps | Yes | Yes | Yes | Multiple Meetings |
| 5 | EPA | Yes | Yes | Yes | Meeting with EPA Caribbean Director |
| 6 | White House | Yes | No | No | |
| 7 | FBI | No | No | No | Meeting to be scheduled |
| 8 | Homeland Security | No | No | No | Meeting to be scheduled |
| 9 | OSHA | Yes | Yes | No | |
| 10 | DOJ | No | No | No | |
| 11 | FOMB | Yes | Yes | Yes | Meeting/Briefing with FOMB Executive Director |
| 12 | Puerto Rico Agencies / Energy Stakeholders | | | | |
| 13 | P3A | Yes | Yes | Yes | Weekly Coordination Meetings |
| 14 | Governor Pedro Pierluisi | Yes | Yes | No | Meetings/Briefings on progress |
| 15 | Governor's Chief of Staff | Yes | Yes | Yes | Meetings/Briefings on progress |
| 16 | Governor's Energy Affairs Office Director | Yes | Yes | Yes | Meetings/Briefings on progress |
| 17 | PR Ports Authority | Yes | Yes | Yes | Meeting/Briefing |
| 18 | PREPA | Yes | Yes | Yes | Daily Meetings, Bi-Weekly Coordination Meetings |
| 19 | LUMA | Yes | Yes | Yes | Daily Meetings, Weekly Coordination Meetings |
| 20 | AAFAF | Yes | Yes | Yes | Regular Meetings |
| 21 | COR3 | Yes | Yes | Yes | Weekly Meetings |
| 22 | PREB | Yes | Yes | Yes | Briefings/Reporting |
| 23 | PUBLIC SECURITY UMBRELLA COMMISSIONER | Yes | No | No | Meeting to be scheduled |
| 24 | PR Police Department | Yes | No | No | Meeting to be scheduled |
| 25 | AEMED (PR EMERGENCY MANAGEMENT AGENCY) | No | No | No | Meeting to be scheduled |
| 26 | PR Treasury Department | Yes | Yes | No | Meeting/Briefing on progress |
| 27 | PRDRNA | No | No | No | Meeting to be scheduled |
| 28 | US Congress | | | | |
| 29 | House Committee on Natural Resources | Yes | No | No | Reached out, haven't scheduled meetings yet |
| 30 | PRAFAA | Yes | No | No | Reached out, in process to schedule in person meeting |
| 31 | Senate Committee for Energy a& Natural Resources | Yes | Yes | No | Met with individual Senators, not committee staff |
| 32 | Hispanic Caucus | No | No | No | pending to schedule |
| 33 | Rep. Jennifer González Colón | Yes | No | Yes | Scheduling first briefing |
| 34 | PR Legislature | | | | |
| 35 | President of the House | Yes | Yes | No | Transition Meeting/brefing with Rafael Tatito Hernandez |
| 36 | President of the Senate | Yes | Yes | No | Meeting/briefing with President of the Senate |
| 37 | House Majority Leader | Yes | Yes | No | Initial conversations |
| 38 | Senate Majority Leader | Yes | Yes | No | Meeting/briefing with Sen. Javier Aponte Dalmau |
| 39 | House Minority Leader | Yes | Yes | No | Meeting/briefing with Rep. Johnny Mendez and Rep. Victor Parez |
| 40 | Rep. Jose Quiquito Melendez | Yes | Yes | No | Meeting/briefing |
| 41 | Rep. Jose Memo Gonzalez | Yes | Yes | Yes | Attended public hearing on Prepa/Arecibo project |
| 42 | Chair of Labor Committee-House | Yes | Yes | No | Ask to be updated after offer letters process to plant employees |
| 43 | Chair of Preparation, Reconstruction and Reorganization Committee-House | Yes | Yes | No | Meeting/briefing with Rep. Luis Ortiz |
| 44 | Senate Minority Leader | Yes | Yes | No | Meeting/briefing with Sen. Thomas Rivera Schatz |
| 45 | Senator Vargas Vidot | Yes | Yes | No | Meeting/briefing |
| 46 | Senate Energy Commission | Yes | Yes | No | Attended Public Hearing on May 9th. |
| 47 | House Energy Commission | Yes | Yes | Yes | Public Hearing 2/9; Responded to multiple RFI's |
| 48 | House Joint Commission Public Private Alliances | Yes | Yes | Yes | Plant tour Cambalache |

| | | | | | |
|-----|--|-----|-----|-----|---|
| 49 | Puerto Rico Municipalities | | | | |
| 50 | Association of Mayors | Yes | No | No | Scheduling first briefing |
| 51 | Federation of Mayors | Yes | Yes | Yes | Meeting/Briefing with president/Mayor of Camuy |
| 52 | Mayor of Caguas | Yes | Yes | No | Meeting and briefing |
| 53 | Mayor of Salinas | Yes | Yes | No | Scheduling 2nd Meeting with Ops team on Aguirre |
| 54 | Mayor of Humacao | Yes | Yes | No | Meeting and briefing |
| 55 | Mayor of Naguabo | Yes | Yes | No | Meeting and briefing |
| 56 | Mayor of Vega Alta | Yes | Yes | No | Agreed on keeping constant communication on plant operation |
| 57 | Mayor of Toa Baja | Yes | Yes | No | Agreed on keeping constant communication on Palo Seco and will address issue of plant water pumps |
| 58 | Mayor of Loiza | Yes | Yes | No | Meeting and briefing |
| 59 | Mayor of San Juan | Yes | Yes | Yes | Meeting and briefing |
| 60 | Private Sector Stakeholders & Academia | | | | |
| 61 | PR Manufacturers Association | Yes | Yes | Yes | Official Genera Presentation/Participation on Energy Panel at Annual Convention |
| 62 | PR Pharmaceutical Industry Association (PIA) | Yes | Yes | No | Official Genera Presentation to the board and Plants Facilities and Government Relations teams |
| 63 | PR Colegio de Ingenieros y Agrimadores | No | No | No | |
| 64 | PR Colegio de Contadores Publicos Autorizados | Yes | No | No | |
| 65 | PR Colegio de Peritos Electricistas | No | No | No | |
| 66 | PR Telecommunications Alliance | Yes | No | No | Presentation to be scheduled |
| 67 | PR Chamber of Commerce | Yes | Yes | Yes | Official Genera Presentation/Participation on Energy and PPA's Panels at Annual Convention |
| 68 | PR Navieros Association | Yes | Yes | No | Official Genera Presentation |
| 69 | PR Retailers Association | Yes | Yes | No | Official Genera Presentation |
| 70 | PR Bankers Association | Yes | No | No | Initial contact and scheduling presentation to the board |
| 71 | PR Hotels & Tourism Association | Yes | Yes | No | Official Genera Presentation |
| 72 | Colegio de Ingenieros y Agrimadores | Yes | No | No | |
| 73 | PR Automobile Industry Association | Yes | No | No | |
| 74 | PR Hospital Association | Yes | No | No | |
| 75 | PR Farm Bureau | Yes | No | No | |
| 76 | PR Construction Materials Association | Yes | Yes | No | Official Genera Presentation |
| 77 | PR 100 | Yes | Yes | No | Attending group meetings |
| 78 | Justicia Energetica | Yes | Yes | No | Official Genera Presentation |
| 79 | PR Restaurants Association | Yes | Yes | Yes | Official Genera Presentation |
| 80 | Made in PR Association | Yes | No | No | Initial team contact at their montly event |
| 81 | PR Small Business Association | Yes | No | No | |
| 82 | PR Builders Association | Yes | Yes | No | Participation in Energy Panel on March 28. Presentation to the board on May 3 |
| 83 | Associated General Contractors (AGC) PR Chapter | Yes | Yes | No | Meeting and briefing with President and Executive Committee |
| 84 | PR Food Wholesalers and Supermakets Association | Yes | No | No | |
| 85 | AES | Yes | Yes | Yes | Meeting with president of AES |
| 86 | Eco Electrica | Yes | No | No | |
| 87 | PR Coalition for Food Security | Yes | No | No | |
| 88 | SESA Solar & Energy Storage Association | Yes | No | No | |
| 89 | US-Lulac | No | No | No | |
| 90 | US-Unidos | No | No | No | |
| 91 | US-Hispanic Federation | Yes | No | No | |
| 92 | US-National Hispanic Caucus of State Legislators (NHCSL) | Yes | Yes | No | Represented Genera at NHCSL energy session |
| 93 | PR Energy System Recovery & Resilience Advisory Group | Yes | No | No | |
| 94 | South East & Caribbean Disaster Resilience Partnership | Yes | No | No | |
| 95 | BEOC (PR Business Emergency Operations Center) | Yes | No | No | Attended FEMA/BEOC Energy & Fuel Sector Meeting |
| 96 | University of PR (Mayaguez Engineering School) | Yes | No | No | |
| 97 | Ana G. Mendez University (Engineering School) | No | No | No | |
| 98 | Politechnical Universty (Engineering School) | Yes | Yes | No | Working on MOU for engineering students practice |
| 99 | PR Rotary Club | Yes | Yes | No | Participation in Energy Panel |
| 100 | Puma Energy Company | Yes | Yes | No | Official Genera Introduction with PUMA/TEXACO Management team |
| 101 | Media-El Vocero | Yes | Yes | No | Meeting with President of El Vocero |
| 102 | Invest PR | Yes | Yes | No | Official Genera Presentation |

B. Handover Checklist Update

| PRELIMINARY CHECKLIST ITEM | Required for O&M Services? (Y / N) | Start Date | Due Date | Progress (% Complete) | Completed? (Y / N) | Amt Spent (US\$) | Status Notes |
|---|------------------------------------|------------|-----------|-----------------------|--------------------|------------------|---|
| 1 People Management (Applicable to Critical Positions Only) | | | | | | | |
| Staffing completed | N | 2/13/2023 | 5/30/2023 | 90% | N | | A total of 900 offers have been extended as part of our hiring effort. 575 offers have been accepted. Thus far, 237 declines and 88 pending to confirm. Genera recruitment efforts to fill 25 positions are posted on website. Resumes and candidates are currently being reviewed. 18 are executive and senior managerial roles, 8 of which will be based at the corporate office and the other 10 distributed throughout the island. |
| Orientation to Genera PR completed (incl training on role & responsibility) | Y | | 5/30/2023 | | N | | Will commence after offer accepted. Benefits enrollment and orientation taking place 5/18/23 to 5/31/23 |
| Skill Assessment Completed | Y | | 4/24/2023 | 100% | Y | | All technical assessments of legacy staff completed, with focus on the Critical Positions |
| Training and Development Plan created | Y | 2/15/2023 | 5/24/2023 | 90% | N | | Operator Training Program was submitted on May 24, 2023 |
| Training commenced (program in place) | Y | 5/24/2023 | 6/24/2023 | 0% | N | | <p>Program will be implemented after Training and Development plan is created and approved. The execution of the training plan will be in full alignment and based on the results of the individualized employee evaluations.</p> <p>Due to timing of access to employees as well as program readiness, training will not be completed until after service commencement date. That said, the program will be executed with prioritization of needs to safely and effectively execute job tasks and again, will be balanced back against the results of the employee evaluations.</p> <p>To ensure success, sufficient time will be allotted and scheduled as shift scheduling will account for expertise to be in place to ensure ample coverage and avoid any deficiencies in skill set.</p> |

| | | | | | | | |
|----|---|---|-----------|-----------|------|---|---|
| 7 | All new personnel have completed applicable training program | N | 6/24/2023 | Ongoing | N/A | N | The vast majority of the staff are expected to be employees transitioning from PREPA. For this line item, new employees who are externally recruited will undergo training as part of the onboarding process. Some employees may only be onboarded until after service commencement, however, Genera is committed to ensuring training is completed before the employee commences in full, their role. |
| 8 | Procedures | | | | | | |
| 9 | New Procedures created (if applicable) | Y | | 4/24/2023 | 100% | Y | Initial set of O&M standards and procedures submitted on 4/24/2023 for formal review by P3A. Procedures are currently being updated based on P3A comments. Genera is working actively with P3A around certain comments which require additional input/feedback. Once those limited items are clarified/addressed, Genera will resubmit the standards accordingly. |
| 10 | Site and department-level procedures have been implemented - including applicable Emergency Response Plans, Fuel Supply Management Plans, and applicable O&M Procedures | Y | 2/1/2023 | 6/24/2023 | 25% | N | Genera has identified and commenced the development of various site-level requirements which are at different stages of review and finalization. The centralized emergency response plan has been submitted and reviewed as a working draft and comments/additions are currently being addressed. Expected completion and submission is by 5/19/23. Genera has started the development of the fuel supply management plan which remains a work in progress at this time. In support of this effort, existing contract reviews have commenced in conjunction with PREPA. |
| 11 | Contracts | | | | | | |
| 12 | Review of applicable contracts | N | 2/15/2023 | 6/30/2023 | 50% | N | Currently reviewing all applicable contracts with the aim of aligning on post-service commencement utilization. There has been a potential gap identified regarding localized contracts (those entered into at a plant level) which may not have been recorded at a corporate level (PREPA). Genera is working with PREPA to address this potential gap. |
| 13 | Determinations of contracts - Retention of existing contracts - New contracts entered | N | 2/15/2023 | 6/30/2023 | 50% | N | Taking place in parallel with the review where possible. Applicable existing contracts are being extended for six months beyond June 30, 2023. This is a process being coordinated and handled by PREPA. Meetings have been held between PREPA and Genera to ensure transparency and efficiency in this process. During the extension, Genera will execute against procurement strategies to identify opportunities for savings/optimization heading into what will be the new contract period. |
| 14 | Contractor partners in place to support O&M needs | Y | | 6/30/2023 | 100% | Y | Contractor partners in place |
| 15 | Project and Workload Management | | | | | | |

| | | | | | | | |
|---------------------------------------|---|---|-----------|-----------|------|---|--|
| 16 | Work Management System implemented | Y | | 6/30/2023 | | N | Based on evaluations of systems, processes, and overall requirements, Genera is opting to utilize and improve the current system (Asset Suite) as this will allow for ease of transition and minimize disruption heading into service commencement. Genera is developing the execution plan for this migration and improvement opportunities. Further, Genera is in the process of finalizing a transition plan which addresses needs through the final aspects of mobilization into the first 60-days of service commencement. Although this plan will cover a variety of key areas, attention is being paid towards work plans, in which Genera has started communications with PREPA to gain a better understanding of current work scheduled, any major projects which will need to transition mid-effort, and projects which are held based on waiting for goods or provider support. |
| 17 | Project teams in place with training items 4+ weeks out | N | | 6/30/2023 | | N | |
| 18 | Work plans for first 4 weeks identified | Y | | 6/30/2023 | | N | |
| 19 | Introductory meeting with Plant Technical Services lead and the Pod Manager supporting the specific plant | Y | | 5/30/2023 | | N | |
| 20 | Plant Manager and Pod Manager agreed on formed project teams | Y | | 5/30/2023 | | N | |
| HSSE and Regulatory Compliance | | | | | | | |
| 22 | Permit and current status of compliance reviewed with PREPA functional area leads (with HSSE Support) | Y | 2/8/2023 | 4/30/2023 | 100% | Y | All permits have been reviewed. There are some minor gaps which will require further investigation and review, however, based on what has been provided, the review is materially complete. |
| 23 | Risk assessments reviewed with PREPA functional area leads and updated where necessary | Y | 2/22/2023 | 5/31/2023 | 30% | N | Working sessions with PREPA continue. Site visits held over the last two weeks to allow for further assessment and context. Genera has engaged a third-party to complete IE/Condition reports at a plant-level basis. The San Juan report has been received and is being reviewed. Awaiting further site reports. |
| 24 | Safety and HazMat Manual implemented and trained on | Y | 2/8/2023 | 6/24/2023 | 90% | N | H&S and Haz Mat Manual has been submitted for formal review by P3A. P3A has returned their review and edits have been made, with the manual now in the process of being resubmitted. The training aspect of this line item is being addressed as part of lines 5 and 6 of this checklist. Training modules have been developed. Once accessibility to employees is in place, training can commence. |
| 25 | Permits reviewed and deconstructed with HSSE support | Y | 2/8/2023 | 6/1/2023 | 100% | Y | All permits which have been provided to Genera have been reviewed and deconstructed. There are certain permits which remain undelivered, which will require agency requests to obtain. Critical permits (Title V/PSD air permits and NPDES) have been received/deconstructed. Genera is now in the process of taking the deconstructions (which made the permit conditions actionable) into a HSEQ Management System known as SMS360. Genera is completing a final QAQC on the deconstruction and have commenced test task uploads to ensure functionality and efficiency in the upload process. At this point, 1700 action items have been identified for upload (does not include replication due to frequency requirements). |

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| 26 | Authorized Operator/Signatory changed to Genera PR on applicable permits | N | 2/23/2023 | 5/5/2023 | 70% | N | Genera has commenced engagement with EPA around the Consent Decree. Genera is working with PREPA to commence the process of sending out notifications to issuing agencies. The action to do the agency notification sits with PREPA. There will be no transfer of permits but rather notification appointing Genera as the operator of record and authorized reporter/signatory to the permit for compliance matters. |
| 27 | For sites under jurisdiction of the Consent Decree, EPA has authorized Genera as Operator | Y | 2/7/2023 | 5/19/2023 | 10% | N | Genera held initial meeting with EPA to socialize our team, strategy, and discuss priorities. Working with PREPA to appoint Genera as the Operator of Record continues. |
| 28 | IT | | | | | | |
| 29 | Transition of FCC licenses | Y | | 5/19/2023 | 90% | N | Genera has completed the FCC registration process and FRN has been granted. Genera has linked accounts with PREPA to allow for full utilization. License association is currently in progress. |
| 30 | Established DOA from PREPA to Genera PR to reassign account accesses, where applicable | Y | | 5/31/2023 | 45% | N | Business teams have been engaged to drive the process. Working through DOA and access in Asset Suite and E-Business Suite. Meetings have been held with LUMA to refine details regarding master data mapping (5/8/23) and system security profile alignment (5/11/23). Over the course of the next week, we will confirm access requirements with LUMA for day 1 commencement, which will be done in working session meetings. |
| 31 | LUMA Coordination | | | | | | |
| 32 | Communication established with LUMA dispatch (agree to initial agreed operating procedures set in the Gridco-Genco Operating Agreement) | Y | | 4/30/2023 | | Y | Various working sessions have been held with LUMA and a formal RFI has been received from LUMA addressing procedural review. The lines of demarcation have been established on a per plant basis. Additional meetings will be necessary prior to finalizing any of the line items. A formal communication and collaboration plan is in the process of being developed. |
| 33 | Jointly, with LUMA, identify the requirements and procedures for the annual performance and heat rate testing for each unit | Y | | 5/31/2023 | 20% | N | |
| 34 | Align on dispatch schedule for first 4 weeks and ready to support | Y | | 6/15/2023 | | N | |
| 35 | Fuel Management | | | | | | |
| 36 | Fuel inventory adequate for dispatch schedule | Y | | 5/31/2023 | | N | Inventories to be verified at a later date |
| 37 | Fuel delivery schedule adequate to match long-term dispatch projection | Y | | 5/31/2023 | | N | Delivery schedule will be verified at a later date |

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| 38 | Spare Parts | | | | | | |
| 39 | Site team and Genera PR have reviewed current inventory | Y | | 6/30/2023 | | N | Revising approach and modified schedules while adding resources to ensure accuracy in the counts and conditions. |
| 40 | Inventory is understood and support continued operations | Y | | 6/30/2023 | | N | Through review process, Genera has started classifying the spare parts and are working to review and assign parts accordingly. |
| 41 | Identify all necessary consumables, spare parts, and capital spares for first 12 months of operations (with communication of this to PREPA and PREB) | Y | | 5/31/2023 | 50% | N | Initial list provided to P3A for review. P3A has provided feedback to Genera requesting additional detail and effort. Currently addressing the noted comments and reworking the submission accordingly. |
| 42 | Relations and Communications | | | | | | |
| 43 | Establish communication with potential site-level union officials | Y | | 6/30/2023 | | N | Strategy is under internal review. |
| 44 | Finalization | | | | | | |
| 45 | Genera PR and PREPA Functional Area Leaders have agreed handover is ready | Y | | 6/30/2023 | | N | This line item will only be available for completion once all other required line items of this checklist are complete |